

Substitute for Form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

**Complete if Known**

Application Number	09/601,694
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Filing Date	January 9, 2001
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First Named Inventor	Anders Andreasson
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Art Unit	1754
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Examiner Name	Edward M. Johnson
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Attorney Docket No. JMYT-217US

**SHEET 1 of 2**

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## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If known)				
EV	2 ✓	EP-0 628 706-A2	12-14-1994	INCO LIMITED		<input type="checkbox"/>
W	3 ✓	EP-0 806 553-A2 (English Translation Only)	11-12-1997	Bayerische Motoren Werke AG		<input type="checkbox"/>
LY	4 ✓	DE-196 18 397-A1	11-13-1997	Bayerische Motoren Werke AG		<input type="checkbox"/>
W	5 ✓	DE-40 32 085-A1 (English Translation Only)	04-16-1992	Didier Werke AG		<input type="checkbox"/>
LD	6 ✓	JP-8-281061	10-29-1996	Babcock Hitachi KK		<input checked="" type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>

**Examiner  
Signature**

Date	
Considered	

10/22/05

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>1</sup>Applicant's unique citation designation number (optional).

<sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

<sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

<sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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Substitute for Form 1449B/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known

Application Number	09/601,694
Filing Date	January 9, 2001
First Named Inventor	Anders Andreasson
Art Unit	1754
Examiner Name	Edward M. Johnson
Attorney Docket No.	JMYT-217US

SHEET 2 of 2

## NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
un	7 ✓	R. H. Hammerle; D. Ketcher; R. W. Horrocks; G. Lepperhoff; G. Hühwohl; and B. Lüers, "Emissions from Diesel Vehicles with and without Lean NOx and Oxidation Catalysts and Particulate Traps," SAE Technical Paper Series, Paper No. 952391, Copyright 1995 Society of Automotive Engineers, Inc., pp. 197-215. <i>no month</i>	<input type="checkbox"/>
un	8 ✓	Memorandum from HJS Fahrzeugtechnik GmbH & Co. dated August 30, 1996, regarding visit to PESAG, Paderborn, 28 <sup>th</sup> August 1996.	<input checked="" type="checkbox"/>
un	9 ✓	Letter from HJS Fahrzeugtechnik GmbH & Co. to Siemens AG dated January 7, 1997.	<input checked="" type="checkbox"/>
un	10 ✓	Letter from HJS Fahrzeugtechnik GmbH & Co. to SKW Stickstoffwerke Piesteritz GmbH dated February 12, 1997.	<input checked="" type="checkbox"/>
un	11 ✓	Memorandum from HJS Fahrzeugtechnik GmbH & Co. dated June 23, 1997, regarding SCR - Project discussion with the VDV on 23 <sup>rd</sup> July 1997.	<input checked="" type="checkbox"/>
un	12 ✓	Meeting minutes of a discussion on July 21, 1997, and an associated Annex.	<input checked="" type="checkbox"/>
un	13 ✓	Letter (grant application) from Leipziger Verkehrsbetriebe (LVB) GmbH to Sachsen State Ministry for Economics and Employment, Transport Department, dated October 1, 1997, and Annex entitled "Project description 'Low-pollution bus drives'."	<input checked="" type="checkbox"/>
un	14 ✓	Dr.-Ing. Georg Hühwohl, Dr. Bernd Maurer, and Dipl.-Ing. H. Th. Vogel, Menden, "Emissionen von Dieselmotoren," <i>Der Nahverkehr</i> , 7-8, 97, pp. 22-27. <i>no date</i>	<input checked="" type="checkbox"/>
un	15 ✓	P. Rodenbuesch, "«CRT» und «SINOx» zur Senkung von Lastwagenabgasen," <i>Autotechnik</i> , 45 (1996), p. 17. <i>no month</i>	<input checked="" type="checkbox"/>
un	16 ✓	Paul Zelenka, Wolfgang Cartellieri, and Peter Herzog, "Worldwide diesel emission standards, current experiences and future needs," <i>Applied Catalysis B: Environmental</i> , Vol. 10, 1996, pp. 3-28. <i>no month</i>	<input type="checkbox"/>
un	17 ✓	Jan G. M. Brandin, Lars A. H. Andersson, and C. U. Ingemar Odenbrand, "Catalytic Reduction of Nitrogen Oxides on Mordenite: Some Aspect on the Mechanism," <i>Catalysis Today</i> , Vol. 4 (1989), pp. 187-203. <i>no month</i>	<input type="checkbox"/>
un	18 ✓	P. Hawker; N. Myers; G. Hühwohl; H. Th. Vogel; B. Bates; L. Magnusson; and P. Bronnenberg, "Experience with a New Particulate Trap Technology in Europe," SAE Paper No. 970182, Copyright 1997 Society of Automotive Engineers, Inc. <i>no month</i>	<input type="checkbox"/>
un	19 ✓	Letter from Saxony State Ministry for Commerce and Employment to Leipzig Verkehrsbetriebe (LVB) GmbH, dated December 5, 1997.	<input checked="" type="checkbox"/>
un	20 ✓	E. J. Janssen, "Environmental Catalysis--Stationary Sources," <i>Handbook of Heterogeneous Catalysis</i> , G. Ertl, H. Knözinger, and J. Weitkamp (editors), © VCH Verlagsgesellschaft mbH, D-69451 Weinheim (Federal Republic of Germany), 1997, pp. 1633-1644. <i>no month</i>	<input type="checkbox"/>

Examiner Signature	<i>[Signature]</i>	Date Considered	10/22/03
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